

Conflicts of Interest indicator: Part one (annual assessment)

Name of CCG: Buckinghamshire Date: April 2021

Conflicts of Interest Annual Assessment		
Requirements	Please complete	Additional comments <i>(If non-compliant in any areas, please outline the reasons why, the planned actions and any support needed from NHS England)</i>
The CCG has a clear policy for the management of conflicts of interest (in line with the <i>statutory guidance on managing conflicts of interest for CCGs</i>) and the policy includes a robust process for the management of breaches.	Y / N	Approval of current version by CCG Audit Committee 24/07/19:
The CCG has a minimum of three lay members. Please confirm the: <ul style="list-style-type: none"> Number of CCG lay members: Number of days per month all CCG lay members are employed: 	Y / N <hr/> 4 <hr/> 14	The lay members are: <ol style="list-style-type: none"> Lay Vice Chair and Chair of Audit Committee (and Conflicts of Interest Guardian) Chair of Finance Committee Chair of Primary Care Commissioning Committee These are all non-clinical and this figure excludes the Registered Nurse and Secondary Care Doctor. Although there is not currently a lay member for patient and public involvement, this is being aligned across the three CCGs in Buckinghamshire, Oxfordshire and Berkshire West as they increasingly collaborate and hold constituted meetings "in-common".
The CCG Audit Chair has taken on the role of the Conflicts of Interest Guardian, supported by a senior CCG manager(s).	Y / N	The CCG Audit Chair, Robert Parkes, is the CCG Conflicts of Interest Guardian. He is supported by a combination of the Accountable Officer, Deputy Accountable Officer and Head of Governance/Board Secretary

<p>From 2019/20, 100% of relevant CCG staff have been offered module one of the online training on managing conflicts of interest and 90% of relevant CCG staff have completed it by 31 January 2019. Relevant staff includes:</p> <ul style="list-style-type: none"> – CCG Governing Body Members – Executive members of formal CCG committees and sub-committees – Primary Care Commissioning Committee members – Clinicians involved in commissioning or procurement decisions – CCG governance leads <p>Anyone involved or likely to be involved in taking a procurement decision(s)</p>	Y / N	Training modules	Compliance	
			31 Jan	31 Mar
		Module 1: All CCG staff.	83%	93%
		Module 2: individuals in decision-making roles, e.g. managers of contracts, commissioning etc.	100%	97%
		Module 3: Senior CCG staff with high exposure to conflicts of interest, e.g. governing body members, directors and GPs.	99%	98%