

Conflicts of Interest indicator: Part one (annual assessment)

Name of CCG: Buckinghamshire Date: April 2019

Conflicts of Interest Annual Assessment		
Requirements	Please complete	Additional comments <i>(If non-compliant in any areas, please outline the reasons why, the planned actions and any support needed from NHS England)</i>
The CCG has a clear policy for the management of conflicts of interest (in line with the <i>statutory guidance on managing conflicts of interest for CCGs</i>) and the policy includes a robust process for the management of breaches.	Y / N	<p>Section 11 refers to management of breaches.</p> <p>26 September 2018:</p> <p>The Audit Committee was asked to:</p> <ul style="list-style-type: none"> • APPROVED proposed amendments to CCG Conflicts of Interest policy to reflect emerging ICS governance arrangements. (Section 12) • APPROVED proposed amendments to CCG Conflicts of Interest policy to reflect review of policy breach reporting and investigation arrangements (Section 11) <p>13 December 2018:</p> <p>The Governing Body was asked to RATIFY an updated conflicts of interest policy to reflect (a) ongoing development of the Buckinghamshire Integrated Care System (b) review of policy breach reporting and investigation arrangements. Amendments to the policy were ratified.</p> <p>https://www.buckinghamshireccg.nhs.uk/wp-content/uploads/2015/11/Conflicts-of-Interest-Policy-ANNUAL-REVIEW-SEPTEMBER-2018-update-FINAL-CLEAN.pdf</p>

<p>The CCG has a minimum of three lay members. Please confirm the:</p> <ul style="list-style-type: none"> • Number of CCG lay members: • Number of days per month all CCG lay members are employed: 	Y / N	<p>Two lay members are the respective Audit Committee chairs for each CCG, with a further two lay members who are members of the Governing Bodies meetings in common.</p> <p>These are all non-clinical and this figure excludes the Registered Nurse and Secondary Care Doctor.</p>
	4	
	14	
<p>The CCG Audit Chair has taken on the role of the Conflicts of Interest Guardian, supported by a senior CCG manager(s).</p>	Y / N	<p>The CCG Audit Chair, Robert Parkes, is the CCG Conflicts of Interest Guardian.</p> <p>He is supported by a combination of the Accountable officer, Deputy Accountable Officer and head of Governance/Board Secretary</p>
<p>From April 2017/18, 100% of staff have been offered the mandatory training and a minimum of 90% of CCG staff have completed the mandatory online conflicts of interest training (at the relevant level) by 31 January each year.</p>	Y / N	<p>Training published January 2018. Deadline 31 May 2018. Completed by over 90% of staff at that point in time.</p> <p>Training prior to May 2018 is valid until 31 January 2019, so staff will be asked to complete again between 1 Feb 2019 and 31 Jan 2020. Module 1 has become mandatory for all staff as of 1 February 2019.</p>